



Attorney's Docket No.: 06501-092001 / D1-A0009-US

GAC 1633

#8
8/9
9/4/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hiroaki Yamamoto et al.
Serial No. : 10/020,674
Filed : October 30, 2001
Title : NOVEL (R)-2,3-BUTANEDIOL DEHYDROGENASE

Art Unit : 1633
Examiner : Unknown

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U.S. Patent and Trademark Office
Arlington, VA 22202

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

U.S. Patent Application No. 10/147,003 ('003), listed on the attached PTO-1449 form, shares at least one common inventor (Hiroaki Yamamoto) with the present application. In addition, the present application and the '003 application are both assigned to the Daicel Chemical Industries, Ltd., a Japanese corporation having a place of business at:

1 Teppo-cho, Sakai-shi, Osaka 590-8501 JAPAN.

The following reference was previously submitted and is not being submitted herewith:

Heidlas et al., "Purification and characterization of a (R)-2,3-butaediol dehydrogenase from *Saccharomyces cerevisiae*", Arch. of Microbiol., 154:267-273, 1990

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

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Applicant : Hiroaki Yamamoto et. al.
Serial No. : 10/020,674
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Page : 2

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This statement is being filed before the receipt of a first Office action on the merits.
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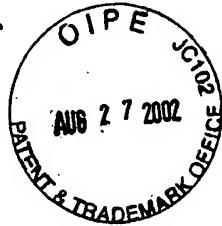
Respectfully submitted,

Date: 8/23/02


Janis K. Fraser, Ph.D., J.D.
Reg. No. 34,819

Fish & Richardson P.C.
4350 La Jolla Village Drive, Suite 500
San Diego, California 92122
Telephone: (858) 678-5070
Facsimile: (858) 678-5099

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INVESTOR IN PEOPLE

Daicel Chemical Industries Ltd
% Mathys & Squire
100 Grays Inn Road
LONDON
WC1X 8AL

The Patent Office
Patents Directorate

Concept House
Cardiff Road, Newport
South Wales NP10 8QQ

Your Reference: SDR/24020
Application No: GB 0126119.7

Examiner: 01633 813794
E-mail: jeremy.kaye@patent.gov.uk
Switchboard: 01633 814000
Fax: 01633 814444
Minicom: 08459 222250
DX 722540/41 Cleppa Park 3
<http://www.patent.gov.uk>

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Dear Sirs

Patents Act 1977: Search Report under Section 17(5)

I enclose two copies of my search report and a copy of the citations.

Publication

I estimate that, provided you have met all formal requirements, preparations for publication of your application will be completed soon after **25 March 2003**. You will then receive a letter informing you of completion and telling you the publication number and date of publication.

Amendment/withdrawal

If you wish to file amended claims for inclusion with the published application, or to withdraw the application to prevent publication, you must do so before the preparations for publication are completed. **No reminder will be issued.** If you write to the Office less than 3 weeks before the above completion date, please mark your letter prominently: **"URGENT - PUBLICATION IMMINENT".**

Yours faithfully

Dr Jeremy Kaye
Examiner

[†]Use of E-mail: Please note that e-mail should be used for correspondence only.



Application No: GB 0126119.7
Claims searched: 1-18

Examiner: Dr Jeremy Kaye
Date of search: 28 June 2002

Patents Act 1977
Search Report under Section 17

Databases searched:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.T):

Int Cl (Ed.7):

Other: Online: EPODOC, WPI, PAJ, BIOSIS, CAPLUS, EMBASE, MEDLINE, SCISEARCH, BLASTp, BLASTn

Documents considered to be relevant:

| Category | Identity of document and relevant passage | Relevant to claims |
|----------|---|--------------------|
| A | WO 99/54453 A1 (CHR. HANSEN A/S) p.3, 1.20 - p.5, 1.30 | |
| Y | Gene, Vol.197, 1997, Smania, A. M. et al., "Molecular cloning and characterization...", pp.231-238. | 6-18 |
| X, Y | Arch. Microbiology, Vol.154, 1990, Heidlas, J. et al., "Purification and characterization of a (R)-2,3-butanediol dehydrogenase...", pp.267-273 | X: 1-5 Y: 6-18 |

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|---|---|---|--|
| X | Document indicating lack of novelty or inventive step | A | Document indicating technological background and/or state of the art. |
| Y | Document indicating lack of inventive step if combined with one or more other documents of same category. | P | Document published on or after the declared priority date but before the filing date of this invention. |
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